



SECTOR *A*

CERTIFICATE OF MAILING

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Date

8-9-01

Name:

Melissa Scanzillo

Signature

Melissa Scanzillo

Clifford Chance Rogers & Wells LLP

Docket No. 6311-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Clenaghan et al.

Filed: December 27, 2000

Group Art Unit: 2164

Serial No: 09/750,417

Examiner: to be determined

For: METHOD AND APPARATUS FOR SELLING FINANCIAL INSTRUMENTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
BOX: MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application (copy enclosed) dated April 3, 2001 received for the above identified application, enclosed please find the following:

1. ☒ Executed Declaration and Power of Attorney
2. ☒ A petition for Extension of Time
3. ☒ 6 sheets of Formal Drawings comprising of Figs. 1-6

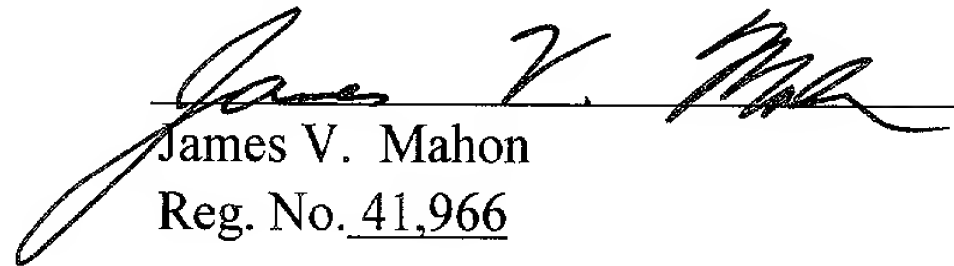
Please make the Declaration and Power of Attorney of record in the above-identified application.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date:

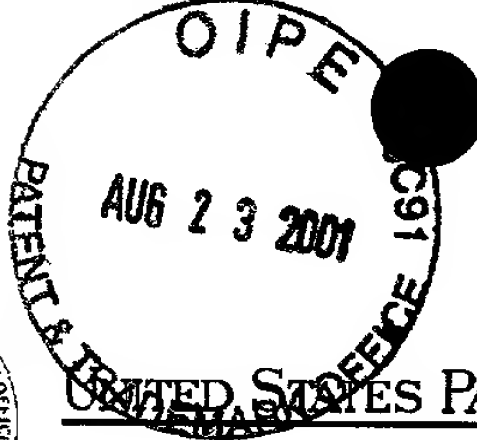
Aug 9, 2001

Respectfully submitted,


James V. Mahon
Reg. No. 41,966

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166-0153
Telephone: (212) 878-8073

09/08/01 10:40:00



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,417	12/27/2000	Stuart J. Clenaghan	6311-5

CONFIRMATION NO. 6939

FORMALITIES LETTER



OC000000005927906

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166

Date Mailed: 04/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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